



PATENT

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

John M. Mocenigo

Serial No.: 09/741,100

Filed: December 21, 2000

FOR: DATABASE MANAGEMENT SYSTEM

Attn: Application Div.

Group Art Unit: TBA

Attorney Docket No. 03493.00154

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

It is requested that a corrected filing receipt be issued to correct the Applicants in the official filing receipt. Specifically, the please delete inventors Richard A. Becker and Allan R. Wilks.

A copy of the official filing receipt with the corrections marked in red is attached.

No fee is believed to be associated with this paper since these errors occurred through no fault of the Applicants. Nonetheless, should the USPTO determine that a fee is required, please charge such fee to our Deposit Account No. 19-0733.

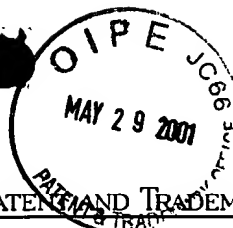
Respectfully submitted,

Dated: May 29, 2001

By: Thomas H. Jackson  
Thomas H. Jackson  
Registration No. 29,808

BANNER & WITCOFF, LTD.  
1001 G Street, N.W., 11th Floor  
Washington, D.C. 20001  
(202) 508-9100

THJ



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/741,100	12/21/2000	2185	0.00	3493.00154	4	15	3

CONFIRMATION NO. 6688

22907

BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001

RECEIVED

MAR 05 2001

mm  
3/7

## FILING RECEIPT



\*OC000000005796996\*

BANNER &amp; WITCOFF LTD.

Date Mailed: 02/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

~~Richard A. Becker, Residence Not Provided;~~  
~~Allan R. Wilks, Residence Not Provided;~~  
John M. Mocenigo, Residence Not Provided;

DOCKETED

MAR 06 2001

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/23/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Database management system

## Preliminary Class

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/741,100
Filing Date	December 21, 2000
First Named Inventor	John M. Mocenigo
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	03493.00154

Total Number of Pages in This Submission

7

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

**Request For Corrected Filing Receipt**

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Thomas H. Jackson, Reg. No. 29,808

Signature

*Thomas H. Jackson*

Date

May 29, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/741,100
Filing Date	December 21, 2000
First Named Inventor	John M. Mocenigo
Examiner Name	TBA
Group / Art Unit	TBA
Attorney Docket No.	03493.00154

TOTAL AMOUNT OF PAYMENT (\$ 950

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																														
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 19-0733</p> <p>Deposit Account Name: Banner &amp; Witcoff, LTD</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>110</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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<p>*or number previously paid, if greater; For Reissues, see above</p>		<p>Other fee (specify) _____</p> <p>*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 240</p>																																																																																																																																																																														

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Thomas H. Jackson	Registration No. Attorney/Agent)	29,808
Signature	<i>Thomas H. Jackson</i>	Telephone	(202) 508-9119
		Date	May 29, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of	)	Attn: Applications Branch
	)	
John M. Mocenigo	)	
	)	
Serial No: 09/741,100	)	
	)	
Filed: December 21, 2000	)	
	)	
For: DATABASE MANAGEMENT SYSTEM	)	Atty. Docket No.: 3493.00154
METHOD AND APPARATUS	)	(IDS 1999-0168)

**RESPONSE TO NOTICE TO FILE MISSING PARTS WITH DECLARATION**

The Honorable Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

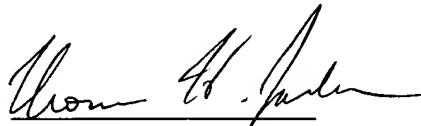
In response to the Notice to File Missing Parts of Application - Filing Date Granted (copy attached) mailed on February 26, 2001, submitted herewith is the executed Declaration of inventor (John M. Mocenigo).

Entry of this document completes all of the filing formalities and fully satisfies all requirements of the Notice to File Missing Parts. Accordingly, examination and allowance of this application in due course are respectfully solicited.

**PATENT APPLICATION  
SERIAL NO. 09/741,100**

Please charge \$950.00 for (Filing Fee--710.00, Surcharge--130.00 and 1 month extension of time--110.00) to our Deposit Account No. 19-0733. However, if the calculated fee is not correct, you are hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication to Deposit Account No. 19-0733.

Respectfully submitted,



Thomas H. Jackson  
Registration No. 29,808

Banner & Witcoff, LTD.  
1001 G Street, N.W.  
Eleventh Floor  
Washington, D.C. 20001-4597

Dated: May 29, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/741,100	12/21/2000	Richard A. Becker	3493.00154

CONFIRMATION NO. 6688

22907  
BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001

## FORMALITIES LETTER



\*OC000000005796997\*

Date Mailed: 02/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

---

*A copy of this notice **MUST** be returned with the reply.*

*Tadelech Petro*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/30/2001 SMINASS1 00000043 190733 09741100

01 FC:101 710.00 CH  
02 FC:105 130.00 CH